

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 209791US2

First Inventor or Application Identifier Toshio MATSUYAMA

Title CONTROL APPARATUS

Assignee Name:

Assignee Address:

11016 U.S. PTO
09/879158
06/13/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

☒ Specification Total Sheets **20**

☒ Drawing(s) (35 U.S.C. 113) Total Sheets **4 (Formals)**

4. ☒ Oath or Declaration Total Pages **2**

a. ☒ Newly executed (original)

b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ Paper

c. ☐ Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☒ Application Data Sheet. See 37 CFR 1.76

9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure
Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations

12. ☐ Preliminary Amendment

13. ☒ White Advance Serial No. Postcard

14. ☒ Certified Copy of Priority Document(s) (1)
(if foreign priority is claimed)

15. ☒ Applicant claims small entity status.
See 37 CFR 1.27

16. ☒ Other: Notice of Priority

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on

☐ Which was published in English

☐ Which was not published in English

☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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| Name: | Marvin J. Spivak | Registration No.: | 24,913 |
| Signature: | | Date: | 6/13/01 |
| Name: | C. Irvin McClelland | Registration No.: | |

Registration Number 21,124

06/13/01

11051 U.S. PTO

Docket No. 209791US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Toshio MATSUMOTO
SERIAL NO: New Application
FILING DATE: Herewith
FOR: CONTROL APPARATUS

FEE TRANSMITTAL

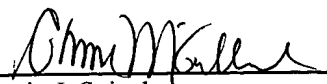
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|---|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS | 14 - 20 = | 0 | × \$18 = | \$0.00 |
| INDEPENDENT CLAIMS | 2 - 3 = | 0 | × \$80 = | \$0.00 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable) | | | + \$270 = | \$0.00 |
| <input type="checkbox"/> LATE FILING OF DECLARATION | | | + \$130 = | \$0.00 |
| BASIC FEE | | | | \$710.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | \$710.00 |
| <input checked="" type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY | | | | \$-355.00 |
| <input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE | | | + \$130 = | \$0.00 |
| <input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT | | | + \$40 = | \$40.00 |
| TOTAL | | | | \$395.00 |

- ☐ Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$395.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


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09879158-061301

DOCKET NO.: 209791US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Toshio MATSUMOTO

SERIAL NO.: New Application

FILED: Herewith

FOR: CONTROL APPARATUS

REQUEST FOR SMALL ENTITY STATUS

This application qualifies for small entity status.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date:

6/13/01



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